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PTO/SB/21 (03-03)

Approved for use through 04/30/2003. OMB 0651-0031

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

| | |
|------------------------|----------------------|
| Application Number | 10/737,309 |
| Filing Date | December 16, 2003 |
| First Named Inventor | Makoto Kawai, et al. |
| Art Unit | Unknown |
| Examiner Name | Unknown |
| Attorney Docket Number | PC25538A |

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

- | | | |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): Statement as Provided by 37 CFR 1.97(b) Return Post Card |
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| Firm or Individual | David R. Kurlandsky |
| Signature | |
| Date | 4/25/04 |

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Signature

Date

2/26/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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USPTO Fax No.

USPN 10/737,309 Filed December 16, 2003
Docket No. PC25538A



Confirmation No.

PC25538A

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT : Makoto Kawai, et al.

EXAMINER : Unknown

SERIAL NO. : 10/737,309

ART UNIT : Unknown

FILED : December 16, 2003

PAPER NO :

FOR : Cycloalkylene Amide Compounds As NR2b Receptor Antagonists

Statement as Provided by 37 CFR 1.97(b)

Commissioner for Patents
P.O. BOX 1450
Alexandria, VA 22313-1450

Dear Sir:

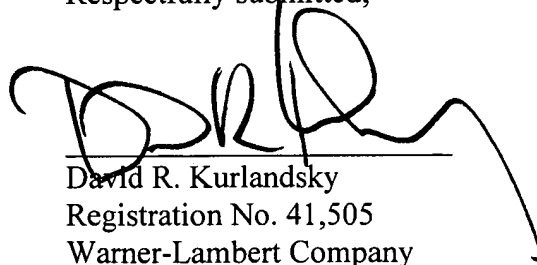
The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of the first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

It is requested that the references listed on the attached form PTO-FB-A820 be included in the "References Cited" portion of any patent issuing from this application (MPEP § 1302.12).

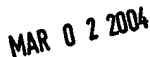
It is understood by the Applicants that this paper requires no fee; however, authorization is given to charge any necessary filing fees and any additional fees or credit any overpayment to Deposit Account 23-0455.

Respectfully submitted,

Dated: 2/25/04



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Substitute for form 1449/PTO

(Use as many sheets as necessary)

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| Application Number | 10/737,309 |
| Filing Date | December 16, 2003 |
| First Named Inventor | Makoto Kawai, et al. |
| Art Unit | Unknown |
| Examiner Name | Unknown |
| Attorney Docket Number | PC25538A |

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| Sheet | 1 | of | 3 |
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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | r ⁶ |
|--------------------|-----------------------|---|------------------|---|---|----------------|
| | | Country Code ³ *Number ⁴ *Kind Code ⁵ (if known) | MM-DD-YYYY | | | |
| | | WO 97/38665 | 10-23-1997 | Neville J. Anthony, et al. | | |
| | | WO 00/71516 | 11-30-2000 | Bing-Yan Zhu, et al. | | |
| | | WO 01/81295 | 11-01-2001 | Russell J. Deorazio, et al. | | |
| | | WO 01/92239 | 12-6-2001 | Sham S. Nikam, et al. | | |
| | | WO 02/22592 | 3-21-2002 | William J. Greenlee, et al. | | |
| | | WO 03/048158 | 6-12-2003 | Donald J. P. Pinto, et al. | | |

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|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
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USSN 10/737,309 **Filed** December 16, 2003
Docket No. PC25538A

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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| Art Unit | Unknown |
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| Attorney Docket Number | PC25538A |

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| Sheet | 2 | of | 3 |
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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|-----------------------|---|--------------------------------|---|---|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| | | DE 4437999 | 10-25-1994 | Karl Thomas | | |
| | | EP 982026 | 08-09-1999 | Alexander Alanine, et al. | | |
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| Examiner Signature | | Date Considered | |
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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Application Number 10/737,309

Filing Date December 16, 2003

First Named Inventor Makoto Kawai, et al.

Art Unit Unknown

Examiner Name Unknown

Attorney Docket Number PC25538A

Sheet

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of

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NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|-----------------------|---|----------------|
| | | S. BOYCE, et al., "Selective NMDA NR2B Antagonists Induce Antinociception Without Motor Dysfunction; Correlation with Restricted Localisation of NR2B subunit in Dorsal Horn". Neuro Pharmacology, May 15, 1999, pp 611-623, Vol. 38, No. 5 | ✓ |
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